## STEREOSELECTIVITIES OF THERMAL AND LEWIS ACID CATALYZED INTRAMOLECULAR DIELS-ALDER REACTIONS

## OF INTERNALLY ACTIVATED DIENOPHILES TO FORM 5-11 MEMBERED RINGS<sup>1</sup>

Douglas A. Smith, <sup>2a</sup> Kunio Sakan, <sup>2a,b</sup> and K.N. Houk<sup>\*3</sup>

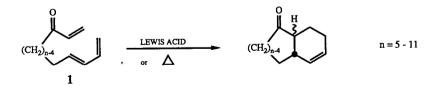
Departments of Chemistry, University of Pittsburgh, Pittsburgh, Pennsylvania 15260

and

Carnegie-Mellon University, Pittsburgh, Pennsylvania 15213

**Abstract:** The thermal and Lewis acid catalyzed intramolecular Diels-Alder reactions of 1 (n = 5-11) have been studied. The catalyzed reactions efficiently form 7-11 membered rings and produce mainly cis adducts. Lewis acids prevent the five-membered ring forming reaction.

In 1983, Sakan showed that the Lewis acid catalyzed Diels-Alder reaction can be useful for the assembly of the fused eight-membered ring present in the taxane ring system.<sup>4</sup> Sakan and Smith subsequently explored more generally the influence of methyl substituents and catalysis upon the rates and stereoselectivities of intramolecular Diels-Alder reactions which generate an eight-membered ring.<sup>5,6</sup> In this paper, we report the stereochemistries and, indeed, feasibilities of the intramolecular Diels-Alder reactions of the general diene, 1, which forms 5-11 membered rings in thermal or catalyzed processes.



The Table shows the results from our studies or from related literature studies.<sup>7,8</sup> The results from the intermolecular Diels-Alder reaction of <u>trans</u>-piperylene with methyl vinyl ketone are also shown for comparison.

	Thermal	Thermal Reactions <sup>b</sup>			Catalyzed Reactions <sup>C</sup>	
<u>n</u>	time (h) / temp (°C)	isolated yield (%)	cis:trans <u>ratio</u> d	isolated yield (%)	cis:trans <u>ratio</u> d	
5	1.5 / 180 <sup>e</sup>	51	87:13	0	-	
6	4 / 22 <sup>f</sup> 120 / 22 <sup>f</sup> 1 / 0 <sup>h</sup>	100 - 78	95:5 10:90 only <u>cis</u>	g	-	
7	5 / 155	90	62:38	88	only <u>cis</u>	
8	68 / 140	53	64:36	69	only <u>cis</u>	
9	96 / 155	14-19	46:54	23-37	90:10	
10	200 / 155	.0	-	6-30	80:20	
11	100 / 155	0	-	11-24	86:14	
Oİ	252 / 140	5ųj	78:22	25 <sup>k</sup>	95:5	

Table. Results of Thermal and Acid-Catalyzed Cycloadditions of 1 (n = 5-11).<sup>a</sup>

<sup>a</sup>All compounds were characterized by <sup>1</sup>H and <sup>13</sup>C NMR, IR, and high resolution MS. Cycloadducts were shown to be configurationally stable under both thermal and catalyzed conditions. For references to nmr data on such systems, see reference 1.

<sup>b</sup>-0.01M 1 benzene or toluene, sealed tube.

 $^{\circ}$ 0.02M 1 in benzene added to 0.1M  ${\rm Me}_2{\rm AlCl}$  in benzene over 2-3 hours at room temperature.

<sup>d</sup>Determined by <sup>1</sup>H NMR at 600 MHz or by capillary GC.

 $e_{0.2M}$  1 in refluxing <u>o</u>-dichlorobenzene under argon.

f<sub>Ref. 7.</sub>

g<sub>Not</sub> attempted, since thermal reaction is so fast.

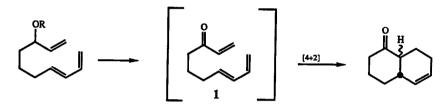
<sup>h</sup>Ref. 8

<sup>1</sup>0.1M <u>trans</u>-piperylene and 0.1M methyl vinyl ketone in benzene, sealed tube.

 $^{j}$ 13% of 1.75:1 mixture of the meta cycloadducts was also observed.

 $^{k}$ <1% of a single meta cycloadduct was observed.

The intermolecular reactions and the intramolecular reactions leading to 5 and 7-9 membered rings all occur readily only at temperatures above 140°. The reactions which would form 10 and 11 membered rings did not occur under the conditions tried. By contrast, the reaction in which the six-membered ring is formed occurs very rapidly even at room temperature.<sup>7,8</sup> Although it is possible that the oxidant used to form 1 (n = 6) catalyzes the reaction,<sup>9</sup> it is more likely that the reactant can achieve the ideal transition state conformation without distortion of the side-chain.<sup>10</sup> Enthalpic, rather than entropic, effects must be crucial here, since reactions with n = 5 or 7, which must have entropies of cyclization very similar to that in which n = 6, react at normal, unaccelerated, rates.





Under Lewis acid catalyzed conditions all the cycloadditions occurred at or below room temperature even when n = 10 or 11. The sole exception is when n = 5, where adduct formation is completely suppressed. The preference of Lewis acid-enone complexes to exist in a planar, <u>s-trans</u> enone conformation is known.<sup>11</sup> Models show that these constraints make it virtually impossible for the diene and dienophile connected by a three atom chain to adopt a conformation which is suitable for the intramolecular cycloaddition to form a hydrindenone.

The thermal reactions show high stereoselectivities only for the cases where n = 5 or 6. Here the <u>cisoid</u> enone must be involved, and the stereoselectivity is somewhat higher than for the intermolecular case. As the length of the connecting chain increases, however, the steric interactions between the connecting chain and the hydrogen on C3 of the diene (which is pyramidalized downward<sup>12</sup>) increases. This disfavors the <u>endo</u> transition state and therefore decreases the stereoselectivity of the cycloaddition.<sup>10</sup> Similar arguments have recently been advanced to explain the stereoselectivities observed in the intramolecular Diels-Alder reaction of terminally activated trienes leading to hydrindane and decalin derivatives.<sup>13</sup>

The catalyzed reactions all give high <u>cis</u>-stereoselectivity. This is related to the <u>transoid</u> conformation of the Lewis acid coordinated enone. This prevents n = 5 (and probably n = 6) from reacting. The lower stereoselectivity in larger cases is most likely due to steric effects involving the side-chains.

The feasibility and stereoselectivity of the intramolecular Diels-Alder reaction for the synthesis of medium ring systems which are otherwise not readily obtainable is of major importance for natural products synthesis. Our efforts in this area will be reported in due course.

<u>Acknowledgements</u>. We are grateful to the National Institutes of Health for financial support of this research, and to IBM for a predoctoral fellowship to DAS. 600 MHz <sup>1</sup>H NMR spectra were obtained at the CMU facility supported by the NIH.

## References and Notes

- 1. Taken in part from the Ph.D. Dissertation of DAS, Carnegie-Mellon University, 1985.
- 2. (a) Carnegie-Mellon University. (b) Deceased, January 12, 1984.
- 3. University of Pittsburgh. Address correspondence to the Department of Chemistry and Biochemistry, University of California, Los Angeles, California 90024.
- 4. Sakan, K.; Craven, B.M. J. Am. Chem. Soc. 1983, 105, 3732.
- 5. Sakan, K.; Smith, D.A. Tetrahedron Lett. 1985, 24, 2081.
- 6. Sakan, K.; Smith, D.A.; Houk, K.N. manuscript in preparation.
- 7. Gras, J.-L.; Bertrand, M. Tetrahedron Lett. 1979, 4549.
- 8. Oppolzer, W.; Snowden, R.L.; Simmons, D.P. Helv. Chim. Acta 1981, 64, 2002.
- 9. (a) Vig, O.P.; Trehan, I.R.; Kumar, R. <u>Ind. J. Chem. Sect. B</u> 1977, <u>15</u>, 319. (b) Vig, O.P.; Trehan, I.R.; Malik, N.; Kumar, R. <u>Ibid.</u> 1978, 16, 449.
- 10. Brown, F.K.; Houk, K.N. Tetrahedron Lett. 1985, 26, 2297.
- 11. (a) Reetz, M.T.; Hullmann, M.; Massa, W.; Berger, S.; Rademacher, P.; Heymanns, P. J. J. Am. Chem. Soc. 1986, 108, 2405. (b) Muller, K.; Kotzamani, E.; Schmickler, H.; Frei, B. <u>Tetrahedron Lett.</u> 1984, 25, 5623. (c) Childs, R.F.; Mulholland, D.L.; Nixon, A. <u>Can. J. Chem.</u> 1982, 60, 801. (d) Oppolzer, W.; Chapuis, C.; Dao, G.M.; Reichlin, D.; Godel, T. <u>Tetrahedron Lett.</u> 1982, 23, 4781.
- 12. Brown, F.K.; Houk, K.N. Tetrahedron Lett. 1984, 25, 4609.
- 13. (a) Boeckman, R.K.; Batra, T.E. J. Org. Chem. 1985, <u>50</u>, 3421. (b) Boeckman, R.K.; Ko, S.S. J. Am. Chem. Soc. 1982, <u>104</u>, 1033. (c) Roush, W.R.; Gillis, H.R.; Ko, A.I. <u>Ibid.</u> 1982, <u>104</u>, 2269. (d) Jung, M.E.; Halweg, K.M. <u>Tetrahedron Lett.</u> 1984, <u>25</u>, 2121. (e) Jung, M.E.; Halweg, K.M. <u>Ibid.</u> 1981, <u>22</u>, 3929.

(Received in USA 7 July 1986)